

# ***Bending The Curve: Ten Scalable Solutions For Carbon Neutrality And Climate Stability***

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**SUBMITTED TO COLLABRA  
JUNE 2016**

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2 **ABSTRACT:**

3 We are living in a world of over seven billion people, with annual greenhouse gas emissions of  
4 approximately 50 billion tons a year and rising steadily. If continued unabated, the world is on  
5 target to warm by about 2<sup>0</sup>C in less than 40 years, pushing the climate to a regime unlike any that  
6 has been witnessed in the last million years. Nonetheless, we still have time to avert such a  
7 catastrophic scenario, or delay its occurrence by several decades to provide human societies and  
8 the ecosystem with the time to adjust. In order to mitigate the possibility of climate disruption,  
9 we need to recognize that fossil fuel based technologies have become outdated and transform the  
10 energy system to that of low-carbon, sustainable and secure energy systems. In addition, we have  
11 to mitigate emissions of the four short-lived climate pollutants to bring immediate relief from  
12 climate change and protect vulnerable societies. Stability of the climate system involves not  
13 only the centrality of scientific and technological advancements and investments, but also  
14 necessary shifts in social structure and behavior by individuals, communities and societies  
15 worldwide as well as market based instruments, sub-national collaborations and governance  
16 structure. Fortunately, living laboratories—such as the State of California and the University of  
17 California system, which has pledged to become carbon neutral by 2025—provide demonstrable  
18 solutions which hold promise in alleviating the climate warming in the next generation. These  
19 jurisdictions are tiny emitters in the global picture, but they offer the potential for leverage  
20 through demonstrating new technologies as well as workable institutions that cut emissions. We  
21 outline 10 pragmatic solutions—a "kit of parts" rooted in California but scalable to the world—  
22 that taken together, can “bend the curve” of the upward trajectory of human-caused warming  
23 trends. Wholesale transformation of our current fossil fuel based energy systems towards  
24 sustainable energy is among the greatest of societal challenges—and opportunities-- faced in the  
25 21<sup>st</sup> century.

26

27 **1. INTRODUCTION**

28 **1.1 SEIZING THE MOMENT**

29 Climate change is scientifically incontrovertible and has become a defining problem for  
30 the current as well as future generations. The Paris agreement to mitigate climate change [1] was  
31 a truly historic agreement that signaled to the entire world that mitigation of climate change is an  
32 urgent priority among leaders of the nations of the world. What the world urgently needs now  
33 are scalable solutions for bending the curve — flattening the upward trajectory of human-caused  
34 greenhouse gas emissions and consequent global climate change (Figure 1). The overall targets  
35 for stabilizing climate change are rather straightforward and have been prescribed in numerous  
36 studies [2]. Basically energy consumption has to become carbon neutral as soon as possible and  
37 in addition we have to drastically mitigate emissions of numerous other climate warming  
38 pollutants within few decades [3, 4]. However, the specific pathways or solutions to reach these  
39 targets are complex and require behavioral, institutional, technological and governance changes,  
40 and these have not been prioritized nor synthesized into one logical framework. Furthermore the  
41 solutions have to be based upon real world examples of the *art of the possible* and prioritize  
42 solutions that are scalable to the whole world. The multi-dimensional nature of the problem  
43 requires inter-disciplinary as well as cross-disciplinary collaboration for crafting a set of  
44 solutions to *Bend the Curve* of carbon emissions and climate change.

45

46 Figure 1. Simulated temperature change under various mitigation scenarios and SLCP Climate  
47 benefits.

48

49           Towards this ambitious goal, fifty researchers and scholars (UC-Fifty)— from a wide  
50 range of disciplines across the University of California system — formed a climate solutions  
51 group and came together in 2015 to identify these solutions, many of which emerge from UC  
52 research as well as the research of colleagues around the world. Taken together, these ten  
53 solutions can bend the curve of climate change. The 10 scalable solutions, described here,  
54 present pragmatic paths for achieving carbon neutrality and climate stability in California, the  
55 United States and the world. The 10 solutions were derived from detailed analyses of the climate  
56 change problem as well as its multi-dimensionality by the UC-Fifty. These analyses and  
57 resulting recommendations are described in 8 companion papers in this special volume. The  
58 companion papers fall under five categories: I. Science Solutions Cluster; II. Societal  
59 Transformation Solutions Cluster; III. Governance Solutions Cluster; IV: Market- and  
60 Regulations-Based Solutions Cluster; and V. Technology-Based Solutions Cluster

61           The effort by the UC-Fifty is inspired by California’s recent pledge to reduce carbon  
62 emissions by 40 percent below 1990 levels by 2030 [5], and by the University of California’s  
63 pledge to become carbon neutral by 2025 [6]. What is taking place in California today is exactly  
64 the sort of large-scale demonstration project the planet needs. And this statewide demonstration  
65 project is composed of many of the kinds of solutions that can be scaled up around the world.

66           California has provided a remarkable example for the world by achieving dramatic  
67 reductions in air pollution, while continuing to grow economically [7]. Furthermore, the air  
68 pollution control industry in California generated \$6.2 billion in revenues and employed 32,000  
69 people in 2001 [8]. In this study, we propose a set of strategies for combating climate change and  
70 growing the economy in California, the nation and the world, while building present-day and  
71 intergenerational wealth, and improving the well-being of people and the planet. The University

72 of California has played a key role in California's pioneering leadership in energy and  
73 environmental policy through research, teaching and public service, and currently is partnering  
74 with local, state, federal and international leaders in the public, private and philanthropic sectors  
75 to address our pressing climate change challenges (e.g, [9]) .We still have much more to do here  
76 in California. We are eager to share these lessons with the world and together build a better,  
77 safer, healthier and more equitable world, while bending the curve of climate change. As we  
78 make the changes necessary to achieve carbon neutrality at the University of California,  
79 employing solutions that can be scaled up to developing energy and climate solutions for the  
80 world, hundreds of thousands of faculty, students and staff across our 10 campuses and three  
81 affiliated national laboratories will be learning and sharing with the world how we can bend the  
82 curve of greenhouse gas emissions and stop global warming through taking bold yet pragmatic  
83 steps and lowering the barriers so others can follow.

84

## 85 **1.2 WE ARE AT A CROSSROADS AND WE MUST MAKE A CHOICE**

86 This is evident in the increased frequency and intensity of storms, hurricanes, floods, heat  
87 waves, droughts and forest fires [10, 11]. These extreme events, as well as the spread of certain  
88 infectious diseases, worsened air pollution, drinking water contamination and food shortages, are  
89 creating the beginning of what soon will be a global public health crisis. A whole new navigable  
90 ocean is opening in the Arctic. Sea levels are rising, causing major damage in the world's most  
91 populous cities. All this has resulted from warming the planet by only about 0.9 °C, primarily  
92 from human activities [10]. Since 1750, we have emitted 2 trillion metric tons of carbon dioxide  
93 (CO<sub>2</sub>) and other greenhouse gases. The emission in 2011 was around 50 billion tons and is  
94 growing at a rate of 2.2 percent per year [11]. If this rate of increase continues unabated, the

95 world is on target to warm by about 2 °C in less than 40 years [3, 4]. By the end of the century,  
96 warming could range from 2.5 °C to a catastrophic 7.8 °C [10]. We are transitioning from climate  
97 change to climate disruption. With such alarming possibilities the planet is highly likely to cross  
98 several tipping points within decades, triggering changes that could last thousands of years [12].  
99 All of this is occurring against a backdrop of growing needs and pressures by humans, as our  
100 population is set to increase by at least 2 billion people by 2050.

101

### 102 **1.3 BENDING THE CURVE**

103 Bending the curve refers to flattening the upward trajectory of human-caused warming  
104 trends. Reducing CO<sub>2</sub> emissions by 80 percent by 2050 and moving to carbon neutrality post-  
105 2050 would begin to bend the temperature curve downward and reduce overall warming by as  
106 much as 1.5 °C by 2100 [11, 13]. Temperature estimates for future warming trends as well as  
107 for the mitigated warming given throughout this study have a 95 percent probability range of  
108 ±50 percent. For example, a value of 2 °C given here is the central value with a 95 percent range  
109 of 1 to 4 °C. That is, there is a 95 percent probability the true value will be within that range.

110 More rapid reductions can be achieved by reducing four short-lived climate pollutants.  
111 These short-lived climate pollutants, known as SLCPs, are methane (CH<sub>4</sub>), black carbon,  
112 hydrofluorocarbons (HFCs, which are used in refrigerants) and tropospheric ozone. If currently  
113 available technologies for reducing SLCPs were fully implemented by 2030, projected warming  
114 could be reduced by as much as 0.6 °C [3, 13, 14] within two to four decades, keeping the mid-  
115 century warming well below 2 °C relative to the pre-industrial average. This could give the world  
116 additional time to achieve net-zero emissions or even negative carbon emissions through scaling  
117 up existing and emerging carbon- neutral and carbon sequestration technologies and methods.

118 Achieving both maximum possible mitigation of SLCPs and carbon neutrality beyond 2050  
119 could hold global warming to about 2 °C through 2100, which would avert most disastrous  
120 climate disruptions. This is our goal in this study.

121 In what follows, we describe 10 practical solutions to mitigate climate change that are  
122 scalable to the state, the nation and the world. There are many such reports offering  
123 recommendations and solutions to keep climate change under manageable levels. We take full  
124 account of such action-oriented reports and offer some unique solutions to complement them.  
125 Many of the solutions proposed here are being field tested on University of California campuses  
126 and elsewhere in California. The background, the criteria, the quantitative narrative and  
127 justification for these solutions can be found in the companion papers in this special volume.

128

#### 129 **1.4 THE CALIFORNIA EXPERIENCE: 1960 TO 2015**

130 In the economic boom following World War II — fueled by large increases in  
131 population, vehicles, diesel trucks and coal-burning industries — California recorded some of the  
132 highest air pollution levels, competing with the city of London for the dubious title of the worst  
133 polluted region in the world. Since then, California has made a remarkable turnaround. From  
134 1960 to the present, California has reduced levels of particles and gases related to air pollution  
135 by as much as 90 percent [15].

136 The concentration of black carbon was reduced by 90 percent across California. In the  
137 meantime, fuel consumption for the transportation sector increased by a factor of five and  
138 population grew from 15.5 million (1959) to 39 million (2014). California also has made  
139 impressive gains in energy efficiency and in lowering its carbon footprint. Its per capita energy

140 consumption is among the lowest in the United States (48th) and its per capita electricity  
141 consumption is the lowest — roughly half of the U.S. per capita consumption [16, 17].

142 California is one of the most energy- efficient and greenest economies in the world. It is  
143 the second-to-least carbon-intense economy in the world next to France, which relies heavily on  
144 nuclear power. It also is a leader in renewable power generation with 23 percent of its electricity  
145 generated from renewables (not including hydropower), second only to Germany (which  
146 generates 27 percent of its electricity from renewables). These impressive environmental gains  
147 did not hurt California’s economy, which grew at an impressive pace with the highest gross  
148 domestic product of all states in the nation, constituting the world’s eighth largest economy.  
149 California has shown how to reduce fossil fuel related pollution emissions while sustaining  
150 strong economic growth.

151 Emboldened by this favorable experience in regulating air pollution, California in 2002  
152 passed the first law in the country that targeted greenhouse gas emissions from vehicles. In 2006,  
153 it enacted the precedent-setting Global Warming Solutions act and gave authority to California’s  
154 air pollution agency, the California Air Resources Board (CARB), to enact policies to reduce its  
155 greenhouse gas emissions to 1990 levels by 2020. The state responded with a suite of measures  
156 that include a cap and trade program, a low carbon fuel standard for vehicles, automobile  
157 emission standards expected to reduce emissions by 30 percent by 2016, renewable portfolio  
158 standards for utilities, energy efficiency programs for buildings and appliances, and transit and  
159 land use programs to reduce vehicle miles traveled. This has been followed by another milestone  
160 in 2015 when Gov. Brown issued an executive order setting a goal of reducing CO<sub>2</sub> emissions to  
161 40 percent below 1990 levels by 2030, which is the pathway required for stabilizing climate  
162 below 2 °C relative to the pre-industrial average. The legacy of California’s air quality and

163 energy efficiency programs since the 1960s and the depth of expertise at CARB on the multi-  
164 dimensional aspects of climate change mitigation have placed California in a unique position to  
165 embark on such ambitious low carbon pathways.

166 While its geography, equable climate and commerce have favored green growth, this  
167 progress came as a result of five decades of consistent and innovative policies that relied on  
168 sound research, innovative development and aggressive implementation of policies. While  
169 California relied only on command and control regulation until the 1990s, the state began rolling  
170 out market incentives for controlling nitrous oxide emissions and demonstrated the efficacy of  
171 market instruments to mitigate certain types of emissions. Relying on this experience, CARB  
172 launched a cap and trade system in 2013 to reduce carbon emissions from utilities, industrial  
173 facilities and fuel distributors, covering 85 percent of California’s emissions, making it the most  
174 comprehensive cap and trade market in the world [18].

175

## 176 **1.5 THE CARBON NEUTRALITY INITIATIVE OF THE UNIVERSITY OF** 177 **CALIFORNIA**

178 California cannot address climate change on its own, but the state can serve as a living  
179 laboratory for “the art of the possible,” sharing its good practices and cooperating with other  
180 states and nations to mitigate their emissions [19]. To achieve this goal, California has created an  
181 “Under 2 MOU,” [20] an agreement Gov. Brown co-founded with the state of Baden-  
182 Württemberg in Germany. The “Under 2 MOU” is an agreement among subnational jurisdictions  
183 around the world to limit the increase in global average temperature to below 2 °C. Since the  
184 global agreement was first signed in May 2015, a total of 45 jurisdictions in 20 countries and five  
185 continents, with a total GDP of US \$14 trillion, have signed or endorsed the agreement.

186           This study is an outgrowth of the University of California President’s Carbon Neutrality  
187 Initiative. The authors of this study and our colleagues at the University of California’s 10  
188 campuses and three affiliated national laboratories are strongly motivated by the special demands  
189 of this ambitious goal, and we are also motivated by corresponding goals for the state of  
190 California, the nation and the world. The UC Carbon Neutrality Initiative is dedicated to  
191 achieving net-zero greenhouse gas emissions by 2025 across all 10 UC campuses. It should be  
192 emphasized that a net- zero emission target is enormously demanding and requires careful  
193 strategic planning to arrive at a mix of technologies, behavioral measures and policies, as well as  
194 highly effective communication — all of which, taken together, are far more challenging than  
195 simply reducing emissions by some 40 percent or even 80 percent. Each campus has a unique set  
196 of requirements based on its current energy consumption and emissions. Factors such as a local  
197 climate, reliance on cogeneration facilities, access to wholesale electricity markets and whether  
198 the campus has a hospital and medical school, shape the specific challenges of the campuses,  
199 each of which is a “living laboratory” for learning and adapting.

200           Examples of current projects related to the Carbon Neutrality Initiative are described in  
201 the companion papers. These include an 80 megawatt solar array in the Central Valley (the  
202 largest at any U.S. university), an experimental anaerobic digester that is using food waste to  
203 produce bio-methane, a large fuel cell that generates 2.8 megawatts of electricity from a  
204 municipal waste water treatment facility, smart lighting and smart building systems that  
205 dramatically reduce energy consumption and a solar greenhouse that selectively harvests light for  
206 solar electricity. These and other works at the University of California illustrate the commitment  
207 that we have made to mitigate climate change.

208

209 **2. THE SOLUTIONS**

210 **2.1 10 Scalable Solutions**

211           These 10 pragmatic, scalable solutions — all of which can be implemented immediately  
212 and expanded rapidly — will clean our air and keep global warming under 2 °C and, at the same  
213 time, provide breathing room for the world to fully transition to carbon neutrality in the coming  
214 decades. More details on each solution can be found in Section 3.

215

216 1. Bend the warming curve immediately by reducing short-lived climate pollutants (SLCPs) and  
217 sustainably by replacing current fossil-fueled energy systems with carbon neutral technologies.  
218 Achieve the SLCP reduction targets prescribed in solution #9 by 2030 to cut projected warming  
219 by approximately 50 percent by 2050. To limit long-term global warming to under 2 °C,  
220 cumulative emissions from now to 2050 must be less than 1 trillion tons and approach zero  
221 emissions post-2050. Solutions #7 to #9 cover technological solutions to accomplish these  
222 targets.

223

224 2. Foster a global culture of climate action through coordinated public communication and  
225 education at local to global scales. Combine technology and policy solutions with innovative  
226 approaches to changing social attitudes and behavior.

227

228 3. Deepen the global culture of climate collaboration by designing venues where stakeholders,  
229 community and religious leaders converge around concrete problems with researchers and  
230 scholars from all academic disciplines, with the overall goal of initiating collaborative actions to  
231 mitigate climate disruption.

232

233 4. Scale up subnational models of governance and collaboration around the world to embolden  
234 and energize national and international action. Use the California examples to help other state-  
235 and city-level jurisdictions become living laboratories for renewable technologies and for  
236 regulatory as well as market-based solutions, and build cross-sector collaborations among urban  
237 stakeholders because creating sustainable cities is a key to global change.

238

239 5. Adopt market-based instruments to create efficient incentives for businesses and individuals to  
240 reduce CO<sub>2</sub> emissions. These can include cap and trade or carbon pricing and should employ  
241 mechanisms to contain costs. Adopt the high quality emissions inventories, monitoring and  
242 enforcement mechanisms necessary to make these approaches work. In settings where these  
243 institutions do not credibly exist, alternative approaches such as direct regulation may be the  
244 better approach — although often at higher cost than market-based systems.

245

246 6. Narrowly target direct regulatory measures — such as rebates and efficiency and renewable  
247 energy portfolio standards — at high emissions sectors not covered by market-based policies.  
248 Create powerful incentives that continually reward improvements to bring down emissions while  
249 building political coalitions in favor of climate policy. Terminate subsidies that encourage  
250 emission-intensive activities. Expand subsidies that encourage innovation in low emission  
251 technologies.

252

253 7. Promote immediate widespread use of mature technologies such as photovoltaics, wind  
254 turbines, battery and hydrogen fuel cell electric light-duty vehicles, and more efficient end-use

255 devices, especially in lighting, air conditioning, appliances and industrial processes. These  
256 technologies will have even greater impact if they are the target of market-based or direct  
257 regulatory solutions such as those described in solutions #5 and #6, and have the potential to  
258 achieve 30 percent to 40 percent reduction in fossil fuel CO<sub>2</sub> emissions by 2030.

259  
260 8. Aggressively support and promote innovations to accelerate the complete electrification of  
261 energy and transportation systems and improve building efficiency. Support development of  
262 lower-cost energy storage for applications in transportation, resilient large- scale and distributed  
263 micro-scale grids, and residential uses. Support development of new energy storage technologies,  
264 including batteries, super-capacitors, compressed air, hydrogen and thermal storage, as well as  
265 advances in heat pumps, efficient lighting, fuel cells, smart buildings and systems integration.  
266 These innovative technologies are essential for meeting the target of 80 percent reduction in CO<sub>2</sub>  
267 emissions by 2050.

268  
269 9. Immediately make maximum use of available technologies combined with regulations to  
270 reduce methane emissions by 50 percent and black carbon emissions by 90 percent. Phase out  
271 hydrofluorocarbons (HFCs) by 2030 by amending the Montreal Protocol. In addition to the  
272 climate and health benefits described under solution #1, this solution will provide access to clean  
273 cooking for the poorest 3 billion people who spend hours each day collecting solid biomass fuels  
274 and burning them indoors for cooking.

275  
276 10. Regenerate damaged natural ecosystems and restore soil organic carbon to improve natural  
277 sinks for carbon (through afforestation, reducing deforestation and restoration of soil organic

278 carbon). Implement food waste reduction programs and energy recovery systems to maximize  
279 utilization of food produced and recover energy from food that is not consumed. Global  
280 deployment of these measures has the potential to reduce 20 percent of the current 50 billion tons  
281 of emissions of CO<sub>2</sub> and other greenhouse gases and, in addition, meet the recently approved  
282 sustainable development goals by creating wealth for the poorest 3 billion.  
283 Of the 10 solutions proposed here, seven (solutions #1 and #4 through #9) have been or are  
284 currently being implemented in California (see section 1.4).

285 California's experience provides valuable lessons, and in some cases direct models, for  
286 scaling these solutions to other states and nations. Decades of research on University of  
287 California campuses and in national laboratories managed by the university contributed  
288 significantly to the development of these solutions. Several of the renewable energy technology  
289 solutions in solutions #6 and #7 have been field tested on University of California campuses (see  
290 section 1.5). Scaling these solutions to other states and nations and eventually globally will  
291 require attitudinal and behavioral changes covered in solutions #2 and #3.

292 UC researchers currently are working on many of these solutions, along with colleagues  
293 around the world. UC faculty also are involved in research on solution #10 to identify and  
294 improve carbon sinks in natural and managed ecosystems by expanding existing, proven  
295 practices worldwide. The cost of fully implementing these solutions will be significant, but  
296 California shows that it can be done while maintaining a thriving economy. And the cost is well  
297 justified in light of the social costs of carbon emissions, including 7 million deaths every year  
298 due to air pollution linked to fossil fuel and biomass burning which also releases climate  
299 warming pollutants to the atmosphere.

300 If we can scale these 10 solutions beginning now, we can dramatically bend the curve of  
 301 deadly air pollution and global warming worldwide (Table 1). California can't bend the curve on  
 302 its own. Neither can the University of California. But we can be part of powerful networks and  
 303 collaborations to scale these solutions.  
 304

Table 1. California's Living Laboratory Solutions: "Art of the Possible" for Bending the Climate Change Curve

Solutions by Topical Cluster	CA's Climate Strategy & Estimated Benefits	Potential Climate Strategy & Benefits for the World	
<i>Science Solutions</i>			
<p><i>Solution 1: SLCPs and carbon neutrality:</i> Reduce short-lived climate pollutants (SLCPs) and replace current fossil-fueled energy systems with carbon neutral technologies</p>	<p>CA's key targets to reduce greenhouse gas (GHG) emissions:            * Increase electricity derived from renewable sources to 50%. * Double building energy efficiency savings rate; make heating fuels cleaner.            * Reduce SLCP release (methane and black carbon). * Increase carbon sequestration on farms and rangelands, and in forests and wetlands.  <a href="#">CA 2016-17 Governor's Budget</a> includes:            * \$3.1 billion for the Cap and Trade Expenditure Plan to reduce GHG emissions for programs to support clean transportation, reduce SLCPs, protect natural ecosystems, and benefit disadvantaged communities            * \$100 million to support local climate actions in the state's top 5% of disadvantaged communities (projects that integrate multiple, cross-cutting approaches to reduce GHG emissions).</p> <p>The State is currently <a href="#">on track to</a> achieve its reduction of 40% GHG by 2030 under state Assembly Bill 32; however, more will need to be done to achieve 80% reductions by 2050 .</p>	<ul style="list-style-type: none"> <li>The SLCPs solution can keep global warming below 2°C until 2050;</li> <li>Carbon neutrality is necessary to keep global warming below 2°C beyond 2050.</li> </ul> <p>[[Globally these efforts would save as many as 100 million lives lost to air pollution by 2050<sup>ll</sup></p>	
<i>Societal Transformations Solutions</i>			
<p><i>Solution 2: Attitudinal and behavior change:</i> Foster a global culture of climate action through coordinated public communication and education.</p>	<p><i>Solutions 2 - 6 are essential to obtain public support for the decisive actions required for carbon neutrality. These can variably work in tandem with solutions #1, 7, 8, 9, and 10 to achieve emissions reductions.</i></p> <ul style="list-style-type: none"> <li><a href="#">Solid majorities of Californians</a> favor government regulation of greenhouse gas emissions and policies to curb global warming.</li> <li>California's air quality and energy efficiency programs since the 1960s and the depth of expertise at the California Air Resources Board (CARB) and the multi-dimensional aspects of its climate change mitigation have placed California in a unique position to embark on today's ambitious low carbon pathways.</li> <li>California in 2002 passed the first law in the country that targeted greenhouse gas emissions from vehicles.</li> <li>In 2006, it enacted the precedent-setting Global Warming Solutions act and gave authority to CARB, to enact policies to reduce its greenhouse gas emissions to 1990 levels by 2020.</li> <li>A suite of measures were developed: a <a href="#">cap and trade</a> program; a low carbon fuel standard for vehicles, <a href="#">automobile emission standards</a> expected to reduce emissions by 30 percent by 2016, <a href="#">renewable portfolio standards</a> for utilities, <a href="#">energy efficiency</a> programs for buildings and appliances, and <a href="#">transit and land use</a> programs to reduce vehicle miles traveled.</li> <li>This has been followed by another milestone in 2015 with the state's goal of reducing <a href="#">CO2 emissions to 40 percent below 1990</a></li> </ul>	<p>California leads the way in providing Solutions for other Subnational and National Jurisdictions and their Governments:</p> <ul style="list-style-type: none"> <li>CA has created an "Under 2 MOU," an agreement to limit the increase in global average temperature to below 2 degrees Celsius. Since the global agreement was first signed in May 2015, a total of 45 jurisdictions in 20 countries and five continents, with a total GDP of US \$14 trillion, have signed or endorsed the agreement.</li> <li>CA provides transferable lessons drawn from its pioneering regulatory bodies such as the California Air Resources Board (CARB) and its tough climate statutes;</li> <li>CA provides transferable lessons drawn from its pioneering work in emissions trading, the world's most comprehensive.</li> </ul>	
<p><i>Solution 3: Climate collaboration:</i> design venues where stakeholders converge around concrete problems</p>			
<i>Governance Solutions</i>			
<p><i>Solution 4: Subnational models of governance and collaboration:</i></p>			
<i>Market &amp; Regulation-Based Solutions</i>			
<p><i>Solution 5: Adopt market-based instruments to create efficient incentives for businesses and individuals to reduce CO2 emissions.</i></p>			

<p><i>Solution 6: Narrowly target direct regulatory measures at high emissions sectors not covered by market-based policies</i></p>	<p>levels by 2030, the pathway required for stabilizing climate below 2 degrees Celsius.</p>	
<p><i>Technology-Based Solutions</i></p>		
<p><i>Solution 7: Promote immediate widespread use of mature technologies such as photovoltaics, wind turbines, battery and hydrogen fuel cell electric light duty vehicles, and more efficient end-use devices, especially in lighting, air conditioning, appliances and industrial processes</i></p>	<p>Demonstration of technology in California has made policies and implementation feasible: <i>Zero emission vehicles program</i>: first developed in the 1990s, successful demonstrations today are making it possible to ramp up zero emission vehicle policies not possible earlier. As a technologies improve for renewables, <i>Renewable Portfolio Standards (RPS)</i> ramp-up becomes feasible. First piloted in the 1990s, successful demonstrations are making scalability possible. <i>UC demonstrations</i> include an 80 megawatt solar array, an experimental anaerobic digester that is using food waste to produce <u>bio-methane</u>, a large <u>fuel cell</u> that generates 2.8 megawatts of electricity from a municipal waste water treatment facility, <u>smart lighting</u> and <u>smart building</u> systems that dramatically reduce energy consumption and a <u>solar greenhouse</u> that selectively harvests light for solar electricity.</p> <p>The program will combine climate investments within a local area for catalytic impact, including investments in energy, transportation, active transportation, housing, urban greening, land use, water use efficiency, waste reduction, and other areas, while also increasing job training, economic, health and environmental benefits.</p>	<p>Together solutions #7 and 8 are necessary for achieving worldwide carbon neutrality post-2050.</p>
<p><i>Solution 8: Aggressively support and promote innovations essential for meeting the target of 80 percent reduction in CO2 emissions by 2050.(energy and transit electrification; building efficiency, energy storage, etc.)</i></p>	<p>Pursuant to Chapter 523, Statutes of 2014 (SB 605), the Air Resources Board has developed a plan that calls for a 50% reduction in black carbon and fluorinated gas emissions and a 40% reduction in methane emissions by 2030.</p> <p>Reducing methane emissions from landfills will be a key component of the short-lived climate pollutant strategy. A key to achieving these goals is the successful collection and recycling of organic and other materials.</p>	<p>A global reduction of methane emissions 50% and black carbon emissions 90%, would provide immediate reductions in global greenhouse effects and avoid crossing over tipping points within next three decades</p>
<p><i>Solution 9: Methane and black carbon reduction &amp; HFCs phase-out</i></p>	<p>Pursuant to Chapter 523, Statutes of 2014 (SB 605), the Air Resources Board has developed a plan that calls for a 50% reduction in black carbon and fluorinated gas emissions and a 40% reduction in methane emissions by 2030.</p> <p>Reducing methane emissions from landfills will be a key component of the short-lived climate pollutant strategy. A key to achieving these goals is the successful collection and recycling of organic and other materials.</p>	<p>A global reduction of methane emissions 50% and black carbon emissions 90%, would provide immediate reductions in global greenhouse effects and avoid crossing over tipping points within next three decades</p>
<p><i>Natural and Managed Ecosystem Solutions</i></p>		
<p><i>Solution 10: Control deforestation, support forest recovery and agroforestry production systems, reduce food waste and energy recovery</i></p>	<p>Reducing methane emissions from landfills will be a key component of the short-lived climate pollutant strategy. A key to achieving these goals is the successful collection and recycling of organic and other materials.</p> <ul style="list-style-type: none"> <li>• \$100 million for the Department of Resources, Recycling and Recovery to provide financial incentives for capital investments that expand waste management infrastructure, with a priority in disadvantaged communities. Investment in new or expanded clean composting, anaerobic digestion, fiber, plastic, and glass facilities is necessary to divert more materials from landfills. These programs reduce GHG emissions and support the state's 75-percent solid waste recycling goal.</li> </ul> <p>Carbon Sequestration</p> <p>As a result of four consecutive years of drought conditions and an infestation of bark beetles, the U.S. Forest Service recently estimated that over 22 million trees in California are dead and tens of millions more are likely to die. In addition to increasing the frequency and severity of the state's wildfire risk, the number of dead and dying trees compromises the carbon sequestration capabilities of the state's forested lands.</p> <ul style="list-style-type: none"> <li>• \$150 million for CAL FIRE to support forest health programs that reduce GHG emissions through fuel reduction, reforestation projects, pest and diseased tree removal, and long-term protection of forested lands vulnerable to conversion. Funds will also support biomass energy generation projects.</li> </ul>	<p><i>Forests</i> can offset 20% of U.S. fossil fuel emissions (15); Controlling Amazon deforestation by 70% avoids emitting 3.2 GTs CO<sub>2</sub> (16); tropical forest regrowth absorbs 1.64 GTs of carbon per year (17); regrowth rates ~12-20 times that of old growth (18)</p>

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306

## 307 **2.2 Unique Aspects of the 10 Solutions**

308           This collaborative study is one of the first such effort that treats mitigation of air  
309 pollution and climate disruption under one framework. The solutions proposed here recognize  
310 the fact that fossil fuel combustion — which produces greenhouse gases — also produces  
311 particles and gases such as ozone and black carbon, which also contribute to global warming.  
312 Others, such as sulfates, cause sunlight to dim and dry the planet. We can accelerate solutions  
313 and gain some time for long-term change to a carbon-neutral world by bending the curve of all of  
314 these pollutants immediately and simultaneously as part of one unified strategy.

315           These 10 solutions leverage the power of concern for human health worldwide. People  
316 care about human health. Burning fossil fuels causes both air pollution and climate changes that  
317 result in human illnesses and death. As the Lancet Commission concluded in June  
318 2015: “The effects of climate change are being felt today and future projections represent an  
319 unacceptably high and potentially catastrophic risk to human health” [21].

320           This study recognizes that intra- regional, intra-generational and inter-generational equity  
321 and ethical issues are inherent in climate change and any solutions to climate change. These  
322 issues arise in part because consumption by about 15 percent of the world’s population  
323 contributes about 60 percent of climate pollution; while 40 percent of the population, who  
324 contribute very little to this pollution, as well as generations unborn, are likely to suffer the worst  
325 consequences of climate disruption. These solutions represent an integrated approach that  
326 includes familiar goals for achieving carbon neutrality through renewable energy, with new goals  
327 for reducing SLCPs immediately; building on California’s success to encourage sub-national  
328 governance, regulations and market-based instruments; and innovative approaches in education,  
329 communication and incentives to encourage attitudinal and behavioral changes. To be effective,

330 this integrated strategy requires engagement by diverse stakeholders and the creation of a culture  
331 of climate action through localized interventions that lower barriers for citizens to take concrete  
332 steps to participate in solving our climate crisis.

333           These solutions recognize the fact that fundamental changes in human attitudes and  
334 behaviors toward nature and each other are critical for bending the curve of air pollution and  
335 global warming. As a result, two of the solutions deal with bringing researchers and scholars  
336 together with community and religious leaders and stakeholders to lower barriers to addressing  
337 climate change from the local level on up.

338           The study also recognizes the fundamental importance of effective communication to  
339 reach and engage diverse constituencies throughout the world to bend the curve of emissions and  
340 warming, achieve carbon neutrality and stabilize Earth’s climate.

341

### 342 **2.3 Pathways for Implementing the 10 Solutions**

343

344 Our 10 scalable solutions are grouped in five clusters listed below.

- 345 • *Science Solutions Cluster*
- 346 • *Societal Transformation Solutions Cluster*
- 347 • *Governance Solutions Cluster*
- 348 • *Market- and Regulations-Based Solutions Cluster*
- 349 • *Technology-Based Solutions Cluster*

350

351 *Science Solutions Cluster*

352 1. Bend the warming curve immediately by reducing short- lived climate pollutants (SLCPs) and  
353 sustainably by replacing current fossil-fueled energy systems with carbon neutral technologies.  
354 Achieve the SLCP reduction targets prescribed in solution #9 by 2030 to cut projected warming  
355 by approximately 50 percent by 2050. To limit long-term global warming to under 2 °C,  
356 cumulative emissions from now to 2050 must be less than 1 trillion tons and approach zero  
357 emissions post-2050. Solutions #7 to #9 cover technological solutions to accomplish these  
358 targets.

359

360 • Maximize use of existing technologies to cut emissions of methane and black carbon  
361 immediately. Since both are air pollutants, air pollution control agencies can require this  
362 now. This also will reduce another short-lived climate pollutant, ozone. Phase out HFCs  
363 immediately — replacement refrigerant compounds are available now. Mitigation of  
364 SLCPs also has significant local benefits, saving 2.4 million lives lost to outdoor  
365 pollution and 3 million lives lost to indoor pollution each year, and saving as much as  
366 140 million tons of maize, rice, soybean and wheat lost annually to air pollution.

367

368 • Phase out the current fossil- fueled energy system and replace it with a diverse mix of  
369 carbon-neutral and carbon sequestration technologies. California’s targets of 50 percent  
370 renewables in power generation, a 50 percent increase in energy efficiency, and a 40  
371 percent reduction in greenhouse gas emissions by 2030 provide an excellent medium-  
372 term roadmap for the nation and the world. If carbon emissions are reduced by 80 percent  
373 by 2050, transitioning to zero emissions soon after, this action along with the SLCP  
374 mitigation action can keep global warming below 2 °C for the rest of the century.

375

- 376 • Set up calibrated monitoring to quantify trends in emission sources and verify and make  
377 public the bending of ambient concentration curves of all air and climate pollutants.

378

379 *Societal Transformation Solutions Cluster*

380 The intra-regional, intra-generational and inter-generational equity issues of climate change raise  
381 major questions of ethics and justice. These questions compel us to reflect deeply on our  
382 responsibility to each other, to nature, and to future inhabitants of this planet — Homo sapiens  
383 and all other living beings alike. It is for these reasons that societal transformation merits such  
384 high ranking in this study, even above regulatory and technological solutions. Top-down action  
385 will be difficult to implement without substantial support from the general public, which can be  
386 accelerated by societal transformations from the bottom up.

387

388 2. Foster a global culture of climate action through coordinated public communication and  
389 education at local to global scales. Combine technology and policy solutions with innovative  
390 approaches to changing social attitudes and behavior.

391

- 392 • Promote coordinated information campaigns to inform choices available to strategic  
393 constituents:
  - 394 ○ The world’s top carbon emitters, numbering 1 billion people, both individuals and  
395 institutions, who contribute about 60 percent of the world’s greenhouse gas  
396 emissions. This targeted audience is easy to reach as they have readily available  
397 access to information technologies.

- 398           ○ Investors in and supporters of sustainable development throughout the world, by  
399           providing information on best practices in clean energy access for the world's  
400           poorest 3 billion citizens with very low carbon footprints. Among the energy poor  
401           are forest managers who offset the consumption and energy patterns of other  
402           consumers.
- 403           ○ The 3 billion low carbon emitters can serve as partners in worldwide de-  
404           carbonization by actively committing themselves, their families and their  
405           communities to learn about and to strategize for future access to carbon-neutral  
406           energy.
- 407           ● Make the distribution of accountability and responsibility for sustainable energy  
408           consumption clear to all constituencies through accurate, transparent, widely available  
409           energy calculators that reveal how much energy different constituencies are consuming.  
410
- 411           ● Provide evidence-based indicators of the cumulative impacts of climate injustices. Past  
412           studies have demonstrated that the poorest 3 billion, whose emissions account for only 5  
413           percent of total emissions, will nevertheless be disproportionately harmed by climate  
414           change, and that energy access choices based on more sustainable, low-carbon sources  
415           for these populations will result in prevention of climate disruption and collective harm to  
416           the planet and biodiversity.
- 417
- 418           ● Create and integrate curricula at all levels of education, from kindergarten through  
419           college, to educate a new generation about climate change impacts and solutions.  
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3. Deepen the global culture of climate collaboration. Design venues where stakeholders, community and religious leaders converge around concrete problems with researchers and scholars from all academic disciplines, with the overall goal of initiating collaborative actions to mitigate climate disruption.

- Climate solutions require integrated behavioral, ethical, political, social, humanistic and scientific knowledge. Public and private institutions at every scale can create venues where decision makers, business leaders, community and religious leaders, and academics spanning the natural sciences, social sciences, humanities and arts converge around concrete problems, with the goal of creating dialogues, developing common understanding, and fostering collaborative action to mitigate climate disruption. Public universities must use their public missions and mobilize their knowledge and resources to partner with community-based agencies, local school districts and industry partners to educate locally for climate action.
- Initiate a culture of climate action by localizing interventions. Research shows that behavioral change and positive public opinion are more likely when the impacts of climate are recognized at a local scale and when barriers are lowered for people to participate in concrete actions to solve our climate crisis.
- Religious leaders can integrate protection of the environment with their traditional efforts to protect the poor and the weak. A model exhortation in this vein is Pope Francis’ encyclical *Laudato Si’*, which stated: “We are faced not with two separate crises, one

444 environmental and the other social, but rather with one complex crisis which is both  
445 social and environmental. Strategies for a solution demand an integrated approach to  
446 combating poverty, restoring dignity to the excluded, and at the same time protecting  
447 nature.”

448

449 *Governance Solutions Cluster*

450 4. Scale up subnational models of governance and collaboration around the world to embolden  
451 and energize national and international action[22]. Use the California examples to help other  
452 state- and city-level jurisdictions become living laboratories for renewable technologies and for  
453 regulatory as well as market-based solutions, and build cross-sector collaborations among urban  
454 stakeholders because creating sustainable cities is a key to global change[19].

455

- 456 • State- and city-level jurisdictions can set the standards and the pace for national actions  
457 by serving as living laboratories for renewable technologies, regulatory- based  
458 (“command and control”) strategies and market- based solutions. Such efforts also speed  
459 up translation of science to policy actions, especially if those who have been  
460 marginalized in systems of governance are included in authentic ways that advance  
461 justice and equity. Over the past several decades, California has shown that subnational  
462 leadership in technological development, regulatory action, market-based solutions and  
463 provision of equitable benefits has demonstrated a viable path forward for other states  
464 and nations.

465

- 466
- National and subnational leaders must promote international action and cooperation in  
467 order for unilateral climate policies — such as California’s climate mitigation mandate  
468 AB 32 or the American Clean Energy and Security Act — to succeed and to minimize  
469 potential detrimental effects, such as the risk of emissions leakages which arise when  
470 only one jurisdiction (California, for example) imposes climate policy but other  
471 jurisdictions do not.
- 472
- State-level climate policy should encourage innovation and commercialization of  
473 technologies and solutions that can replace fossil fuels and concurrently enable the poorer  
474 nations of the world to achieve economic growth with zero and low- carbon technologies.
- 475
- Accelerate the impact of cities on climate mitigation through: (1) municipal and regional  
476  
477 Climate Action Plans (CAPs); (2) green infrastructure projects, such as: (a) urban forestry  
478 to improve carbon sequestration and reduce the urban heat island effect; (b) locally  
479 decentralized micro-grids using renewable energy sources; (3) smart mobility planning  
480 and design for active living and healthy place-making (such as mixed- use in-fill and  
481 transit oriented development), which reduces greenhouse gas emissions by making cities  
482 less auto-centric and more walkable and bikeable; (4) incentivizing photovoltaic retrofits  
483 and new net-zero energy technology; and (5) corresponding civic engagement and public  
484 education strategies, accompanied by concrete local opportunities for participatory  
485 climate action, to change attitudes and behaviors.
- 486
- 487

- 488           ○ The 25th session of the UN-Habitat’s Governing Council (April 2015) approved  
489           new International Guidelines on Urban and Territorial Planning which highlight  
490           the vital role cities can play in addressing climate change and other pressing  
491           social and ecological problems of the 21st century.
- 492           ○ Cities cover less than 2 percent of Earth’s surface, but they consume 78 percent  
493           of the world’s energy and produce more than 60 percent of all carbon dioxide and  
494           significant amounts of other greenhouse gas emissions[23].

495

496

497 *Market- and Regulations-Based Solutions Cluster*

498 5. Adopt market-based instruments to create efficient incentives for businesses and individuals to  
499 reduce CO<sub>2</sub> emissions. These can include cap and trade or carbon pricing and should employ  
500 mechanisms to contain costs. Adopt the high quality emissions inventories, monitoring and  
501 enforcement mechanisms necessary to make these approaches work. In settings where these  
502 institutions do not credibly exist, alternative approaches such as direct regulation may be the  
503 better approach — although often at higher cost than market-based systems.

504

505 6. Narrowly target direct regulatory measures — such as rebates and efficiency and renewable  
506 energy portfolio standards — at high emissions sectors not covered by market-based policies.  
507 Create powerful incentives that continually reward improvements to bring down emissions while  
508 building political coalitions in favor of climate policy. Terminate subsidies that encourage  
509 emission-intensive activities. Expand subsidies that encourage innovation in low-emission  
510 technologies.

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The problem of emissions won't solve itself. Policy makers must send decisive signals to firms and individuals. So far, very few places in the world have adopted strong greenhouse gas mitigation policies. California is an exception, but California is less than 1 percent of the global problem. If we are to lead, we need to adopt policies that others can emulate; this is tricky because the best policies will vary with local circumstances. In general, there are two flavors of emissions policies: direct regulation and market-based (cap and trade and carbon pricing) regulation.

Economic theory and empirical evidence tell us that market approaches are more cost-effective. In a few cases where market based control systems have been used at scale — such as trading of lead pollution, trading of sulfur dioxide pollution, and European and Californian carbon markets — that theory is borne out by evidence. Yet it is already clear that market approaches are politically very difficult to implement in part for the very reasons that many analysts find them attractive: They make the real costs of action highly transparent[19].

As a matter of policy design, we have chosen not to come down in favor of either market based or regulatory approaches, but to include both. Specifically, we recommend the following:

- It is imperative to anticipate and design climate policies in a way that can contain compliance costs. Pure regulation leaves policies susceptible to large increases in compliance costs, particularly in the presence of capacity or production constraints that are inherent in energy markets.

534

535       • Another artificial market distortion that must be corrected is subsidization of fossil fuels  
536       worldwide, which provides carbon-intensive fuels with an advantage over low-carbon  
537       fuels. Where necessary, charge royalties for fossil fuels extracted on public lands and  
538       territorial waters.

539

540       • Regulation requires extremely sophisticated institutions and enforcement (such as the  
541       California Air Resources Board) to prevent leakage and to look ahead and assess how  
542       regulatory decisions interact with business strategy and the evolution of technology.

543

544       • Revenues from cap and trade or carbon taxes should be used to fund aggressive pursuit of  
545       innovative new technologies that can bend the curve and protect disadvantaged  
546       communities and those adversely affected by cap and trade or other regulatory strategies  
547       (for example, through payments for environmental services to rural communities engaged  
548       in low carbon development paths, such as forest dependent communities).

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552

553    *Technology-Based Solutions Cluster*

554       The technological measures under solutions #7 and #8, if fully implemented by 2050,  
555       will reduce global warming by as much as 1.5 °C by 2100, and combined with measures to  
556       reduce SLCPs in solution #9 will keep warming below 2 °C during the 21st century and beyond.

557 Global emissions of CO<sub>2</sub> and other greenhouse gases in 2010 totaled 49 gigatons of  
558 equivalent CO<sub>2</sub> per year, with 75 percent due to increases in CO<sub>2</sub> and 25 percent from other  
559 greenhouse gases. This estimate from the IPCC 2013 [10] does not include two of the SLCPs,  
560 ozone and black carbon. About 32 gigatons per year are due to CO<sub>2</sub> from fossil fuels and  
561 industrial processes. The challenge for technology solutions is to bring down emissions of CO<sub>2</sub> to  
562 less than 6 gigatons per year by 2050, and reduce the emissions of methane and black carbon by  
563 50 percent and 90 percent respectively by 2030. This in turn will reduce ozone levels by at least  
564 30 percent. In addition, HFCs must be phased out completely by 2030. To indicate the  
565 importance of these non- CO<sub>2</sub> mitigation measures: HFCs are the fastest growing greenhouse  
566 gases; if emissions continue to grow at current rates, HFCs alone will warm the climate by 0.1 °C  
567 by 2050 and 0.5–1.0 °C by 2100.

568

569 7. Promote immediate widespread use of mature technologies such as photovoltaics, wind  
570 turbines, battery and hydrogen fuel cell electric light-duty vehicles and more efficient end-use  
571 devices, especially in lighting, air conditioning, appliances and industrial processes. These  
572 technologies will have even greater impact if they are the target of market-based or direct  
573 regulatory solutions such as those described in solutions #5 and #6 and have the potential to  
574 achieve 30 percent to 40 percent reduction in fossil fuel CO<sub>2</sub> emissions by 2030.

575

576 • Use of renewables and other low carbon energy sources are increasing rapidly. Catalyzed  
577 by falling prices, in 2014, renewables accounted for about 50 percent of all new power  
578 generation in the world (primarily in China, Japan, Germany and the United States),  
579 representing an investment of about \$270 billion[24].

- 580 • Technologies exist today that can provide significant carbon reductions if used widely.  
581 Achieve a more reliable and resilient electric grid with at least 90 percent of all new  
582 generation capacity by 2030 from distributed and renewable technologies, such as  
583 photovoltaics, wind turbines, fuel cells, biogas and geothermal.
- 584 • Expand electrification of highly-efficient end-use devices, especially lighting, electric  
585 vehicles, machinery and plug load appliances.
- 586 • Examples from UC campuses demonstrate that deep energy efficiency investments are  
587 immediately amenable to widespread implementation.
- 588 • Accelerate the transition from fossil to zero-carbon, locally sourced transportation fuels  
589 such as hydrogen to power fuel-cell-powered electric vehicles, and low-carbon grid  
590 electricity to power battery electric vehicles, to meet the carbon reduction required from  
591 the light- duty and goods movement transportation sectors.
- 592 • Overall, these measures, if implemented with market and regulatory measures, can  
593 mitigate about 10 gigatons per year of CO<sub>2</sub> emissions by 2030.

594

595 8. Aggressively support and promote innovations to accelerate the complete electrification of  
596 energy and transportation systems and improve building efficiency. Support development of  
597 lower cost energy storage for applications in transportation, resilient large-scale and distributed  
598 micro-scale grids, and residential uses. Support research and development of a portfolio of new  
599 energy storage technologies, including batteries, super- capacitors, compressed air, hydrogen and  
600 thermal storage, as well as advances in heat pumps, efficient lighting, fuel cells, smart buildings  
601 and systems integration. These innovative technologies are essential for meeting the target of 80  
602 percent reduction in CO<sub>2</sub> emissions by 2050.

603

604       • This solution will require significant investments in both basic and applied research and  
605       development, demonstration of prototypes, and commercial deployment.

606       • Energy storage is a vital enabling technology that holds the key to transitioning from  
607       fossil fuels for our vehicular needs and managing the intermittency of renewables on  
608       the electric power grid. Over the past five years, electric vehicles have been entering  
609       the market and storage technologies are being tested now on various grid  
610       applications, mainly driven by innovations in lithium-ion batteries and hydrogen.

611       While these innovations are promising, more research and development is needed to  
612       reduce the cost and ensure widespread deployment of battery and hydrogen storage.

613       To achieve carbon- free electrification, complementary energy storage technologies  
614       over a variety of scales must be developed and deployed, requiring a new generation  
615       of sophisticated dynamic system control methods.

616       • Smart grid and micro-grid technology make possible the increasing penetration of  
617       intermittent solar and wind generation resources, the emergence and integration of  
618       plug-in electric vehicles into the grid infrastructure, and a proactive response to the  
619       increasing demand for enhanced grid resiliency, thereby meeting the challenging  
620       environmental goals associated with climate change, air quality and water  
621       consumption. The evolution of this technology represents a paradigm shift. Our power  
622       grids will be designed, configured and operated in the future across a range of scales,  
623       from smart home devices to central plant power generation. Smart micro-grid systems  
624       also enable the ability to go off the main grid, which is especially important in regions  
625       that historically have been deprived of energy access, such as developing countries in

626 Africa and Asia.

627

628 • Advanced lighting based on efficient light-emitting diode (LED) technology is now  
629 commercially available and has a pay-back time of only one to two years. The  
630 replacement of all incandescent, metal halide and fluorescent lighting fixtures with  
631 LED lighting can reduce energy consumption from lighting by 40 percent.  
632 Investments are needed to capture further efficiencies, which are possible with the  
633 development of next-generation intelligent and more efficient 200 lm/Watt LED lighting  
634 products. These will be optimized for color and brightness to improve work and school  
635 productivity and building efficiency.

636

637 • Residential natural gas consumption can be reduced by 50 percent or more with  
638 widespread deployment of heat pumps and systems coupled to solar thermal and solar  
639 power generation. To accelerate this goal, we recommend deployment of an incentive  
640 program of rebates comparable to those for energy efficiency appliances. We also  
641 recommend the elimination of disincentives such as outdated and inappropriate  
642 regulations for ground source heat pump installations. Although more challenging,  
643 widespread deployment of heat pumps in larger commercial buildings also is possible,  
644 but will require further investments in applied research and development to accomplish  
645 comparable reductions in natural gas consumption. A promising approach that now is  
646 being tested is the capture of waste heat (and water) from cooling towers and  
647 recirculating it with heat pumps into the heating loop of buildings.

648

649 • The development of zero-carbon fuels such as hydrogen and highly-efficient engines  
650 with zero criteria pollutant emissions is required to substantially reduce the carbon  
651 footprint from light-duty vehicles and goods movement (medium-duty and heavy-duty  
652 vehicles, locomotives and ships) and, at the same time, achieve urban air quality goals  
653 [25].

654

655 • While full electrification is an achievable goal for light- duty and medium-duty  
656 transportation, some form of environmentally friendly renewable fuel solutions will be  
657 needed for heavy-duty transport, such as algal-based biofuels. Using algae, we can  
658 capture and beneficially reuse carbon dioxide produced from existing fossil energy  
659 sources such as natural gas electricity generation to produce diesel and jet fuels. Using  
660 wastewater and saline waters for algae growth, we will not place additional burdens on  
661 our limited fresh water resources, and can remediate pollutants such as nitrogen and  
662 phosphate from wastewaters before they reenter the environment to contaminate aquifers  
663 or oceans. Because these currently are not scalable in an economically competitive  
664 manner, further research is needed in this area.

665

666 9. Immediately make maximum use of available technologies combined with regulations to  
667 reduce methane emissions by 50 percent and black carbon emissions by 90 percent. Phase out  
668 hydrofluorocarbons (HFCs) by 2030 by amending the Montreal Protocol. In addition to the  
669 climate and health benefits described under solution #1, this solution will provide access to  
670 clean cooking for the poorest 3 billion people who spend hours each day collecting solid  
671 biomass fuels and burning them indoors for cooking.

672 • The specific technological measures for reducing methane and black carbon are  
673 described in Table 2. These measures were developed by an international panel and  
674 reported in *UNEP WMO Report, 2011* [11].  
675

677

678 10. Regenerate damaged natural ecosystems and restore soil organic carbon to improve  
679 natural sinks for carbon (through afforestation, reducing deforestation and restoration of  
680 soil organic carbon)[26]. Implement food waste reduction programs and energy recovery  
681 systems to maximize utilization of food produced and recover energy from food that is not  
682 consumed[27]. Global deployment of these measures has the potential to reduce 20  
683 percent of the current 50 billion tons of emissions of CO<sub>2</sub> and other greenhouse gases and,  
684 in addition, meet the recently approved sustainable development goals by creating wealth  
685 for the poorest 3 billion.

- 686 • The potential for carbon mitigation from afforestation, reduced deforestation and  
687 restoration of soil organic carbon is about 8 to 12 gigatons per year.
- 688 • Integrate payment for environmental services into global, national and local  
689 economic systems to support forest-dependent communities in sustaining forest  
690 ecosystems as an effective and rapid means of sequestering carbon and achieving  
691 carbon neutrality. This also will achieve co-benefits for biodiversity, hydrological  
692 cycles and soil development.
- 693
- 694 • Support policies that reward complex agro-ecological systems rather than  
695 simplified tree crop systems. Half the world is still rural, and rural communities  
696 need to be part of the solution. This can be facilitated by reforming agrarian policy  
697 with a focus on managing carbon, which in many areas will involve natural forest  
698 management or agroforestry.

699

700

- Globally, one-third of food produced is not eaten; in the United States 40 percent is not eaten. The CO<sub>2</sub> and other greenhouse gases emitted in producing this wasted food contribute 3.3 gigatons annually to emissions. And when food is thrown away, methane — which is about 80 times more potent than CO<sub>2</sub> as a greenhouse gas — is released in landfills.

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Table 2. Technological measures for curbing SLCP emissions (reproduced from [4])

CH<sub>4</sub> measures

<p>Extended pre-mine degasification and recovery and oxidation of CH<sub>4</sub> from ventilation air coal mines</p> <p>Extended recovery and utilization, rather than venting, of associated gas and improved control of unintended fugitive emissions from production of oil and natural gas</p> <p>Reduced gas leakage from long-distance transmission pipelines</p>	Extraction and transport of fossil fuels
<p>Separation and treatment of biodegradable municipal waste through recycling, composting and anaerobic digestion as well as landfill gas collection with combustion/utilization</p> <p>Upgrading primary wastewater treatment to secondary/tertiary treatment with gas recovery and overflow control</p>	Waste management
<p>Control of CH<sub>4</sub> emissions from livestock, mainly through farm-scale anaerobic digestion of manure from cattle and pigs</p> <p>Intermittent aeration of continuously flooded rice paddies</p>	Agriculture

## BC measures (affecting BC and other co-emitted compounds)

<p>Diesel particle filters for road and off-road vehicles</p> <p>Elimination of high-emitting vehicles in road and off-road transport</p>	Transport
<p>Replacing coal by coal briquettes in cooking and heating stoves</p> <p>Pellet stoves and boilers, using fuel made from recycled wood waste or sawdust, to replace current wood-burning technologies in the residential sector in industrialized countries</p> <p>Introduction of clean-burning biomass stoves for cooking and heating in developing countries<sup>2,3</sup></p> <p>Substitution of clean-burning cookstoves using modern fuels for traditional biomass cookstoves in developing countries<sup>2,3</sup></p>	Residential
<p>Replacing traditional brick kilns with vertical shaft kilns and hoffman kilns</p> <p>Replacing traditional coke ovens with modern recovery ovens, including the improvement of end-of-pipe abatement measures in developing countries</p>	Industry
<p>Ban on open field burning of agricultural waste<sup>2</sup></p>	Agriculture

1 There are measures other than those identified in the table that could be implemented. For example, electric cars would have a similar impact to diesel particulate filters but these have not yet been widely introduced; forest fire controls could also be important but are not included due to the difficulty in establishing the proportion of fires that are anthropogenic.

2 Motivated in part by its effect on health and regional climate, including areas of ice and snow.

3 For cookstoves, given their importance for BC emissions, two alternative measures are included.

707 **3. THE URGENCY, THE HUMAN DIMENSIONS, AND THE NEED FOR**  
708 **SCALABLE SOLUTIONS**

709 **3.1 How Did We Get Here?**

710           The invention of the steam engine and the subsequent acquisition of breathtaking  
711 technological prowess culminating in the current information age two centuries later have led to  
712 enormous improvements in human well- being. But the impressive improvement has come at a huge  
713 cost to the natural environment. The combination of air and water pollution, species extinction,  
714 deforestation and climate change has become an existential threat to life on this planet. The  
715 gargantuan transformation of the environment has stimulated ecologists and geologists to consider  
716 whether the Holocene epoch — the past 12,000 years of relatively constant climate and  
717 environmental conditions that stimulated the development of human civilization — has ended, and a  
718 new epoch, the Anthropocene, has begun, an epoch that recognizes that human exploitation of Earth  
719 has become akin to a geologic force [28].

720           Most of the changes listed in Table 3, and many others, have occurred in a span of time  
721 equivalent to a human lifetime beginning in the 1950s, which is considered the beginning of the so-  
722 called “great acceleration” of human impacts. This also is the period that has seen the steepest  
723 increase in global mean temperatures, global pollution and deforestation.

**Table 3. Anthropocene: Growth in human activities from 1880s to 1990s [28]**

Human activity	Increase in size
World population	Increased six-fold
Urban population	Increased thirteen-fold
World economy	Increased fourteen-fold
Industrial output	Increased forty-fold
Energy use	Increased sixteen-fold
Coal production	Increased seven-fold
Carbon dioxide emission	Increased seventeen-fold
Sulfur dioxide emission	Increased thirteen-fold
Lead emission	Increased eight-fold
Water use	Increased nine-fold
Fish catch	Increased thirty-five fold
Blue whale population	99 percent decrease

Reproduced from [29]

## 725 **3.2 Carbon Dioxide Is Not the Only Problem**

726 The greenhouse gas CO<sub>2</sub> contributes about 50 percent to the manmade heat added to  
727 the planet. The other 50 percent is due to several other greenhouse gases and particles in soot.  
728 Those greenhouse gases include nitrous oxide, methane, halocarbons (CFCs, HCFCs and HFCs),  
729 and tropospheric ozone. The warming particles in soot are black carbon and brown carbon  
730 [30]. The sources of these pollutants include fossil fuels (ozone, methane, black carbon),  
731 agriculture (methane and nitrous oxide), organic wastes (methane), biomass cooking and open  
732 burning (black and brown carbon) and refrigeration (halocarbons). Among these pollutants, the  
733 SLCPs (methane, black carbon, tropospheric ozone and HFCs) have lifetimes of days (black  
734 carbon) to 15 years (HFCs), which are much shorter than the century or longer lifetimes of CO<sub>2</sub>  
735 and nitrous oxide.

736 When we add up the warming effects of CO<sub>2</sub> with the other greenhouse gases, the planet  
737 should have warmed by about 2.3 °C, instead of the 0.9 °C observed warming. About 0.6 °C of  
738 the expected warming is still stored in the deep oceans (to about 1,500 meters). That heat is  
739 expected to be released and contribute to atmospheric warming in two to four decades. The  
740 balance of 0.8 °C involves a complication due to air pollution particles. In addition to black and  
741 brown particles (which warm the climate), fossil fuel combustion emits sulfate and nitrate  
742 particles, which reflect sunlight like mirrors and cool the planet. The mechanisms of warming  
743 and cooling are extremely complex. But when we add up all of the effects, sulfate and nitrate  
744 particles have a net cooling effect of about 0.8 °C (0.3–1.2 °C range). Summing 0.9 °C of  
745 observed warming, 0.6 °C stored in the oceans, and the 0.8 °C masked by particles, adds up to  
746 the 2.3 °C warming we should have seen from the build up of greenhouse gases to-date.

747 The particle cooling effect of 0.6 °C should not be thought of as offsetting greenhouse

748 gas warming. This is because the lifetimes of these particles last just days, and when stricter air  
749 pollution controls worldwide eliminate the emission of these particles, the 0.6 °C cooling effect  
750 will disappear. This however does not imply that we should keep on polluting, since air  
751 pollution leads to 7 million deaths worldwide each year, as well as reductions in precipitation  
752 and decreases in crop yields.

753

### 754 **3.3 Planetary-Scale Warming: How Large and How Soon?**

755         Of the CO<sub>2</sub> released to the air, 44 percent remains for a century or longer; 25 percent  
756 remains for at least a millennium. Due to fast atmospheric transport, CO<sub>2</sub> envelopes the planet  
757 like a blanket. That blanket is growing thicker and warmer at an accelerating pace. It took us  
758 220 years — from 1750 to 1970 — to emit about 1 trillion tons of CO<sub>2</sub>. We emitted the next  
759 trillion in less than 40 years. Of the total 2 trillion tons humans have put into the atmosphere,  
760 about 44 percent is still there. At the current rate of emission — 38 billion tons per year and  
761 growing at a rate of about 2 percent per year — the third trillion will be added in less than 20  
762 years and the fourth trillion by 2050.

763         How does the CO<sub>2</sub> blanket warm the planet? It works just as a cloth blanket on a cold  
764 winter night keeps us warm. The blanket warms us by trapping our body heat. Likewise, the CO<sub>2</sub>  
765 blanket traps the heat given off by the Earth's surface and the atmosphere. The surface and  
766 atmosphere absorb sunlight and release this solar energy in the form of infrared energy, some  
767 of which escapes to space. The human-made CO<sub>2</sub> blanket is very efficient at blocking some of  
768 this infrared energy, and thus warms the atmosphere and the surface.

769         How large? Each trillion tons of emitted CO<sub>2</sub> can warm the planet by as much as 0.75  
770 °C. The 2 trillion tons emitted as of 2010 has committed the planet to warming by 1.5 °C. The

771 third trillion we would add under business-as-usual scenarios would commit us to warming by  
772 2.25 °C by 2030.

773         How soon? A number of factors enter the equation. To simplify, we likely will witness  
774 about 1.5 °C (or two-thirds of the committed warming) by 2050, mostly due to emissions  
775 already released into the atmosphere (although that amount of warming could come as early as  
776 2040 or as late as 2070). By 2050, under a business-as-usual scenario, we will have added  
777 another trillion tons and the 2050 warming could be as high as 2 °C — and the committed  
778 warming would be 3 °C by 2050.

779         What is our predicament? We get deeper and deeper into the hole as time passes if we  
780 keep emitting at present rates under business-as-usual scenarios. The problem is that CO<sub>2</sub> stays in  
781 the atmosphere so long; the more that is there, the hotter Earth gets. If we wait until 2050 to stop  
782 emitting CO<sub>2</sub>, there would be no way to avoid warming of at least 3 °C because the thickness of  
783 the blanket covering Earth would have increased from 900 billion tons (as of 2010) to about 2  
784 trillion tons (in 2050). Our predicament is analogous to stopping a fast-moving train: You have  
785 to put on the brakes well in advance of the point you need to stop; otherwise you will overshoot  
786 the mark.

787

### 788 **3.4 Facing the Worst Scenario: the Fat Tail**

789         A projection such as 2 °C warming by 2050 is subject to a three-fold uncertainty range. It  
790 is important to note, however, that the uncertainty goes both ways: Things could be a little better  
791 than the average expectation, or a lot worse. The most disturbing part of the uncertainty is that it  
792 has a so-called “fat tail,” that is, a probability of a warming two to three times as much, or even  
793 more, than the 2 °C that would result from best- case greenhouse gas mitigations. For example,

794 the IPCC (2013 report) gives a 95 percent confidence range of 2.5–7.8 °C warming for the  
795 baseline case without any mitigation actions [10]. A warming in the range of 4 to 7.8 °C can  
796 cause collapse of critical natural systems such as the Arctic sea ice, the Asian monsoon system  
797 and the Amazon rain forest. Economists argue that our decisions should be guided by such  
798 extreme possibilities and that we should take actions to prevent them, much as we already do in  
799 requiring buildings to withstand earthquakes and automobile manufacturers to equip our cars  
800 with seat belts and air bags in the unlikely event of an accident.

801

### 802 **3.5 From Climate Change to Climate Disruption: Amplifying Feedbacks**

803 Observations with satellites, aircraft, ships and weather balloons gathered over the past  
804 three decades are providing disturbing evidence of nonlinear amplification of global warming  
805 through feedbacks. This has raised concerns that continued warming beyond 2 °C can lead to  
806 crossing over tipping points in the climate system itself or in other natural and social systems that  
807 climate influences. Examples of climate-mediated tipping points include depletion of snowpack,  
808 drought, fires and insect infestations threatening whole forests, and the opening of new oceans in  
809 the Arctic. The following are among the many major feedbacks for which we have empirical  
810 evidence.

811

#### 812 *Feedbacks between warming, Arctic sea ice and absorption of the sun's heat*

813 Observations from 1979 to 2012 reveal that warming in the Arctic has been amplified  
814 by 100 percent due to a feedback (a vicious cycle) between surface warming, melting sea ice  
815 and increased absorption of solar heat [31]. Melting ice exposes the underlying darker ocean,  
816 which then absorbs rather than reflecting sunlight as the bright ice does. The added absorption

817 of solar energy has been equivalent to the addition of 100 billion tons of CO<sub>2</sub> to the air. The large  
818 warming has exposed a whole new oceanic region in the Arctic.

819

820 *Feedbacks between warming, snowpack, drought and fires*

821         The California example: California has kept up with the average warming of the planet  
822 by about 0.9 °C, with regions such as the Central Valley warming in excess of 2 °C. This  
823 warming melts the snowpack, and the dark surface underneath absorbs more heat and therefore  
824 increases moisture loss by 7–15 percent per degree of warming. This amplified drying becomes  
825 chronic, since the warming gets worse each year due to increase in emissions of warming  
826 pollutants. The chronic drying is drastically magnified into a mega- drought when rainfall  
827 decreases sporadically due to variability in the weather, similar to what has happened over the  
828 past four years. The resulting extreme drying of the soil and vegetation contributes to fires. The  
829 forest fires, in turn, emit more CO<sub>2</sub> as well as black carbon and methane, the two largest  
830 contributors to warming next to CO<sub>2</sub>. This phenomenon is not confined to California. Similar  
831 problems are occurring throughout western North America. The melting of northern latitude  
832 permafrost and resultant increases in methane emissions are another potential feedback element  
833 in warming driven by similar patterns.

834

835 *Feedbacks between warming and atmospheric moisture*

836         With every degree of warming, air holds about 7 percent more moisture. This means that  
837 warming is amplified by a factor of two, since water vapor itself is a dominant greenhouse gas  
838 [10, 32]. This is one of the most vicious cycles that amplifies greenhouse warming. Increases in

839 water vapor also contribute to extreme storms and increased rainfall, which have become more  
840 common, leading to devastating floods around the world.

841

### 842 **3.6 The Human Dimension: Public Health and Food and Water Security**

843 Climate change directly affects human health through heat waves and increasing  
844 frequency and severity of weather extremes such as storms, floods and droughts. Secondary  
845 effects include wildfires, worsened air quality, drinking water scarcity and contamination, crop  
846 and fishery failures, and expansion of transmissible diseases. Floods, droughts and resource  
847 shortages trigger population displacement, mental health effects and potentially violent conflict,  
848 both within countries and across borders. Such events will affect poorer nations much more  
849 severely, at least initially, but wealthy countries will not be spared significant harm, such as we  
850 have already seen from several major hurricanes, floods, droughts and fires in the United States.  
851 Within wealthy nations, poor communities will tend to suffer disproportionately from the health  
852 effects of climate change.

853 While the focus of climate change discussions is on CO<sub>2</sub> from fossil fuel combustion  
854 particulate pollution — nitrogen oxides, toxic pollutants and ozone created from power plants,  
855 vehicles and other fossil fuel combustion — also have devastating impacts on human lives and  
856 well-being [33], including:

- 857 • 3 million premature deaths every year from air pollution originating from fossil fuel  
858 combustion.
- 859 • Stroke, cardiovascular disease, acute and chronic respiratory disease and adverse birth  
860 outcomes.
- 861 • More than 200 million tons of crops are destroyed every year by ozone pollution[14].

862 • Mega-droughts in sub-Saharan Africa and the Indo-Gangetic plains of South Asia. The  
863 blocking of sunlight by particles from combustion of coal and petroleum, and the  
864 resulting surface dimming has slowed down rain-bearing weather systems [34, 35].

865

866 Direct and Indirect Health Effects of Coal, Petroleum and Gas are also immense and  
867 include: Mortality and morbidity; Cardiovascular disease; Acute respiratory infection;  
868 Stroke; Mental health; Vector-borne diseases; Water- and food-borne diseases; Heat  
869 stroke and other extreme weather related effects; Lung cancer, drowning, under-nutrition;  
870 Harmful algal blooms; Mass migration; Decreases in labor productivity[21]. The  
871 estimated cost of the health effects is in the range of \$70 to \$840 per ton of CO<sub>2</sub>.

872

### 873 **3.7 Environmental Equity, Ethics, and Justice: What Is Our Responsibility?**

874 One billion of us consume about 50 percent of the fossil fuel energy consumed on Earth  
875 and emit about 60 percent of the greenhouse gases; In contrast, the poorest 3 billion, who still  
876 rely on pre-industrial era technologies for cooking and heating, contribute only 5 percent to CO<sub>2</sub>  
877 pollution [36]. Thus, the climate problem is due to unsustainable consumption by just 15 percent  
878 of the world's population. Fixing the problem thus has to simultaneously lower the carbon  
879 footprint of the wealthiest 1 billion, while allowing for growth of energy consumption and  
880 expansion of carbon sinks, such as forests, needed to empower the poorest 3 billion. It is in this  
881 context that it is critical to bend the curve through transforming to carbon neutrality in developed  
882 nations while sharing technology that enables developing nations to leapfrog over use of fossil  
883 fuels to produce the energy they need [37]. Indeed, for the poorest 3 billion, doing so is literally a  
884 matter of life and death.

885 For example: The poorest 3 billion live mainly in rural areas relying on mixed market and  
886 subsistence farming on few acres. A four- year mega-drought of the type that California is  
887 experiencing now would change their forms of livelihood and expand the likelihood of both  
888 temporary and permanent migration. Small island nations in the tropical Pacific already are  
889 facing mass migration caused by increased sea level. If sea level rise reaches 1 meter or more, as  
890 is plausible with business as usual, low- lying coastal nations with populations of more than 100  
891 million people — such as Bangladesh — will move to India and other neighboring nations.  
892 While likely slower than sudden catastrophic events, the size and scope of such climate  
893 migration could make today's Syrian migration crisis look mild by comparison.

- 894 • With melting of Himalayan and other glacier systems, such as those of the Andes, more  
895 than 1.5 billion people would be left without most of their permanent water supply.
- 896 • These are critical practical issues, but there are even more substantial inter-generational  
897 ethical issues. A large fraction of CO<sub>2</sub> gases stay in the air longer than a century, and  
898 when combined with the added heat stored in the depths of the ocean, will affect climate  
899 for thousands of years. Moreover, increased CO<sub>2</sub> makes the oceans more acidic, which  
900 threatens at least a quarter of the ocean's species with extinction.

901  
902 If the carbon footprint of the entire 7 billion became comparable to that of the top 1  
903 billion, global CO<sub>2</sub> emissions would increase from the current 38 billion to 150 billion tons  
904 every year and we would add a trillion tons every seven years, in turn adding 0.75 °C  
905 warming every seven years. Such impacts mean that children alive today, their children, and  
906 their grandchildren, along with all generations to come, will suffer from our unsustainable  
907 burning of fossil fuels. What is our responsibility to them?

## **Acknowledgments:**

The 10 solutions in this paper were distilled from the critical analyses provided in the eight companion chapters in this special volume.

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### **Supporting Information Captions**

Figure 1. Simulated temperature change under various mitigation scenarios and SLCP Climate benefits

Table 1. California's Living Laboratory Solutions: "Art of the Possible" for Bending the Climate Change Curve

Table 2. Technological measures for curbing SLCP

Table 3. Anthropocene: Growth in human activities